

emperectronic Version v18 Stylesheet Version v18.0

> Title of Invention

System and Method for Annotating an Electronic Document Independently of its Content

Application Number:

09/455805

Confirmation Number:

5591

First Named Applicant:

Susan Woolf Attorney Docket Number: 003797.78802

Art Unit:

Examiner:

2176

M Nguyen

Technology Center 2100

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MAY 1 7 2004

Search string:

( 6076917 or 6154771 or 6437793 ).pn.

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

## **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mk	11	6076917	2000-06-20	Wen		-	
MK	2	6154771	2000-11-28	Rangan et al.	j .		
MC	3	6437793	2002-08-20	Kaasila	j .		

#### Remarks

ACTIVE SERVICE CONCRETE STREET STREET

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with

the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document(s) should it be applied as a reference(s) against the claims of the present application. It is respectfully requested that the Examiner fully consider the document(s), initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document(s) has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference(s). The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

## Signature

Examiner Name	Date
Markhanhagayen	04/18/05

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or form 1449A/PTO

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE TATEMENT BY APPLICANT

(use as many sheets as necessary)

of

	Complete if Known	
Application Number	09/455,805	
Filing Date	12/07/1999	
First Named Inventor	Susan D. Woolf et al.	
Group Art Unit	2176	
Examiner Name	Nguyen, M.	
Attorney Docket Number	003797.78802	

ſ				U.S. PATENT D	DOCUMENTS		1
Г	Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
	tnitials *	No.	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Gibo Octalism	Passages or Relevant Figures Appear	1
	MK		US- 5,434,965	07/18/1995	Matheny et al.		
Г	1		US- 5,572,643	11/05/1996	Judson		
			US- 5,632,022	05/20/1997	Warren et al.		
Γ			US- 5,682,439	10/28/1997	Beernink et al.		
Γ			US- 5,687,331	11/11/1997	Volk et al.	77.5	<b>!</b>
Γ			US- 5,717,879	02/10/1998	Moran et al.		
Γ		1	US- 5,729,687	03/17/1998	Rothrock et al.	• 1/1.	EIVED
Γ			US- 6,181,344	01/30/01	Tarpenning et al.	To UN	VA
Γ			US- 6,094,197	07/25/00	Buxton et al.	Char	Fa SA
Γ		1	US- 5,745,116	04/28/1998	Pisutha-Arnold	10/001	1 7000
Γ	$\neg$		US- 6,331,867	12/18/2001	Eberhard et al.	W/C	6.
Γ		1	US- 6,287	03/12/2002	Ruberry et al.		Mar
Γ			US- 5,790,818	08/04/1998	Martin		Sina
Γ			US- 5,821,925	10/13/1998	Carey et al.	Pachhology C	100
Γ		1	US- 5,948,040	09/07/1999	DeLorme et al.		<b>\</b>
Γ	$\neg$		US- 5,950,214	09/07/1999	Rivette et al.		1
Γ	1		US 5,877,757	03/02/1999	Baldwin et al.		1
r			US 5,978,818	11/02/1999	Lin		
ſ		1	US 6,321,244 B1	11/20/2001	Liu et al.		
Γ	<del></del>		US 6,405,221 B1	06/11/2002	Levine et al.		1
Γ	MIC		US 5,931,912	08/03/1999	Wu et al.		

		Foreign Patent Document	TENT DOCUM		Pages, Columns, Lines, Where	Γ.	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	. ⊤°	
MK		WO 96/20908	07/11/1996	Mikitenko et al.			
MK.		WO 98/06054	02/12/1998	Huffman et al.			
MK		WO 99/49383	09/30/1999	Ruberry et al.			
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Examiner Signature	Mailhanhneum	Date Considered	04/18/05

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO C mplete if Known INFORMATION DISCLOSURE **Application Number** 09/455,805 12/07/1999 Filing Date STATEMENT BY APPLICANT Susan D. Woolf et al. First Named Inventor 2176 Group Art Unit (use as many sheets as necessary) Nguyen, M. **Examiner Name** 003797.78802 Attorney Docket Number 2

-			U.S. PATENT	DOCUMENTS	
Examiner Initials *	Cite No.	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
MK	<del>                                     </del>	US 5,845,262	12/01/1998	Nozue et al.	
1		US 6,011,537	01/04/2000	Slotznick	
1		US 5,760,773	06/02/1998	Berman et al.	
	,	US 6,560,621	05/08/2003	Barile	750
1		US 6,490,603 B1	12/03/2002	Keenan et al.	`C
1		US 6,446,110 B1	09/03/2002	Lection et al.	- J/4.
		US 6,535,294 B1	03/18/2003	Arledge, Jr. et al.	Technology C
		US 6,539,370 B1	03/25/2003	Chang et al.	Mole
		US 6,301,590 B1	10/09/2001	Slow et al	PURVA
<u> </u>		US 6,205,455 B1	03/20/2001	Umen et al.	SF COLL
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		US 5,983,248	11/09/1999	DeRose et al.	
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7		US 6,122,649	09/19/2000	Kanerva et al.	
1	Ī	US 6,271,840 B1	08/07/2001	Finseth et al.	
		US 6,091,930	07/18/2000	Mortimer et al.	
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	1	US 6,279,005 B1	08/21/2001	Zellweger	
MIC	T	US 6,081,829	08/27/2000	Sidana	

		FOREIGN PA	FOREIGN PATENT DOCUMENTS			
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵
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Examiner Signature		Markhanhopmen	Date Consid	ered	4/18/05	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Under the Paperwork Reduction Act 27,995, no persons are Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE **Application Number** 09/455.805 Filing Date 12/07/1999 STATEMENT BY APPLICANT Susan D. Woolf et al. First Named Inventor Group Art Unit 2176 (use as many sheets as necessary) **Examiner Name** Nguyen, M. Sheet 003797.78802 of 4 Attorney Docket Number

		Document Number	U.S. PATENT D	Name of Patentee or Applicant of		
Examiner tnitials *	No. Nu	mber - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
MIS	US	5 5,801,687	09/01/1998	Peterson et al.		
1	US	6,157,381	12/05/00	Bates et al.		
	US	6,035,330	03/07/2000	Astiz et al.		}
	US	5 5,918,236	06/29/1999	Wical		
1	US	6,049,812	04/11/2000	Bertram et al.	~	
	US	5 5,893,128	04/06/1999	Drews et al.	187	<b>\</b> _
	US	S 6,460,058 B2	10/01/2002	Koppolu et al.	u II	
$\neg$	US	S 6,018,334	01/25/2000	Eckerberg et al.		
	US	S 5,986,665	11/16/1999	Wrey et al.	» J(	wa "YE
	US	S 5,920,694 .	07/06/1999	Carleton et al.	18ch	1 2 4 20 S
	US	S 6,289,362	09/11/2001	Van Der Meer	Technolog	<003
	US	S 6,018,742	01/25/2000	Herbert, III	. 9	$YC_{\Theta D}$
	US	S 6,054,990	04/25/2000	Tran		ן מוני וליייי
	US	S 5,737,599	04/07/1998	Rowe et al.		1 - 210
	US	S 5,832,263	11/03/1998	Hansen et al.		
	US	S 6,195,679 B1	02/27/2001	Bauersfeld et al.		1
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		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Τ°
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Examiner Signature	- Markhanhagauen	Date Considered	04/18/05

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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO Complete if Known 09/455,805 **Application Number** INFORMATION DISCLOSURE Filing Date 12/07/1999 STATEMENT BY APPLICANT Susan D. Woolf et al. First Named Inventor **Group Art Unit** 2176 (use as many sheets as necessary) **Examiner Name** Nguyen, M. of 4 003797.78802 Attorney Docket Number Sheet

i		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS		
	T²	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Cite No.1	Examiner
		*Adobe Acrebat - 3.0 Reader Online Guide*, Adobe Systems, Inc., pages 1-110		× 1 2 2000
		_KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard_ System, published 11/30/1999		
		Open eBook Forum, Open eBook™ Publication Structure 1.0, http://www.ebxwq.org/oebps/oebps1.0/download/oeb1-oebps.htm		
VF.	EI	KRISTENSEN, A., "Formsheets-and-the-XML-Forms-Language" (March-1999)		
03	2 4 20	Dublin-Core Resource Types: Structurality DRAFT: Jüly 24, 1997. February 27, 1998  — Dublin-Core-Metadata Element Set, Version-1-1:-Reference-Description 1999  Dublin-Core-Metadata-Initiative:-User-Guide-Working-Draft-1998-07-31.		
2101	Centel	Dublin-Gore-Metadata Element.Set, Version-1-1:-Reference-Description 1999		
33		Dublin Core Metadata Initiative: User Guide Working Draft-1998-07-31		
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	Menchannemen	Signature

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	09/455,805			
Filing Date	12/07/1999			
First Named Inventor	Susan D. Woolf et al.			
Group Art Unit	2176			
Examiner Name	Nguyen, M.			
Attorney Docket Number	003797.78802			

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
MK		"Hucklefine Defined", 4/1990, "http://www.ylem.org/artists/mmosher/huck.html", pages 1-3	
MK.		"Tour of Korea Hypercard Stack", 1996, Grose Educational Media, "http://www.entrenet.com/~groedmed/kor2.html", pages 1-2.	
MK		Bizweb2000.com - screenshots of an e-book, published on 5/1999, pages 1-4 "http://web.archive.org/web/"/http://www.bizweb2000.com/eshots.htm".	
		-	
<del>/************************************</del>			-

Examiner Signature	-Markhanhog wen.	Date Considered	04/18/05
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention .

Systems and Method for Annotating an Electronic Document Independently of its Content

**Application Number:** 

09/455805

Confirmation Number:

5591

First Named Applicant:

Susan Woolf

Attorney Docket Number: 003797.78802

Art Unit:

2176

Examiner:

Search string:

M Nguyen

( 5680636 or 5727129 or 5838914 or 5893132

or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595

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Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5680636	1997-10-21	Levine et al.			
NK	2	5727129	1998-03-01	Barrett et al.			
MK	3	5838914	1998-11-17	Carleton et al.	]	٠	
MK	4	5893132	1999-04-06	Huffman et al.		•	
MK	5	6049812	2000-04-11	Bertram et al.	-		
MK	6	6195694	2001-02-27	Chen et al.			
					]		

MK	7	6199082	2001-03-06	Ferrel et al.
MK	8	6486895	2002-11-26	Robertson et al.
MK	9	6549220	2003-04-15	Hsu et al.
MK	10	RE34476	1993-12-14	Norwood
MK	11	5471568	1995-11-28	Webb et al.
MK	12	5719595	1998-02-17	Hoddie et al.
MIS	13	5940080	1999-08-17	Ruehle et al.

#### Remarks

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## Signature

Examiner Name	Date
Maulhanhneulen	04/18/05



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-Art Unit:

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MK	1	5437295	1994-09-13	Agulnick et al.	]		
MK	2	6018342	2000-01-25	Bristor	].		
MK	3	6647534	2003-11-11	Graham	]		

01/21/2004 SSESHE1 00000001 190733 09455805

Remarks

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## Signature

Examiner Name	Date
- Mailhanhaguien	04/18/05

PTO/SB/08a (05-03) for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE one are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction

Substitute for form 1449A/PTO

INFORMATION DEC STATEMENT BY AREA

Complete if Known **Application Number** 09/455,805 Filing Date 12/07/99 Susan D. Woolf et al. First Named Inventor Art Unit 2176 **Examiner Name** Nguyen, Maikhanh

(use as many sheets as necessary)

Sheet of

003797.78802 Attorney Docket Number

			U.S. PATENT I	DOCUMENTS		
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Initials No.	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
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		FOREIGN PA	TENT DOCUM	MENTS		
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Fubication Dat	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵	
MK		EP 0 902 379 A2	03-17-1999	Xerox Corp.		
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Examiner Signature	Moulhanhoqueen	Date Considered	04/18/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. 6 Applicant is to

place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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> Title of Invention

System and Method for Annotating an Electronic Document Independently of its Content

**Application Number:** 

09/455805

Confirmation Number:

5591

First Named Applicant:

Susan Woolf

Attorney Docket Number: 003797.78802

Art Unit:

2176

Examiner:

M Nguyen

Search string:

( 6697997 or 6585776 or 6457013 or 6370497

or 6266772 or 6163778 or 6038598 ).pn.

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**Technology Center 2100** 

<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mk	1	6697997	2004-02-24	Fujimura			
MK	2	6585776	2003-07-01	Bates et al.			
MK	3	6457013	2002-09-24	Saxton et al.			
MK	4	6370497	2002-04-09	Knowles			•
MK	5	6266772	2001-07-24	Suzuki			
MK	6	6163778	2000-12-19	Fogg et al.			
MK	7	6038598	2000-03-14	Danneels			



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Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document(s) should it be applied as a reference(s) against the claims of the present application. It is respectfully requested that the Examiner fully consider the document(s), initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document(s) has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference(s). The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

## Signature

Examiner Name	Date
Markhanhnqueen	04/18/05

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Confirmation Number:

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First Named Applicant:

Susan Woolf

Attorney Docket Number: 003797.78802

Art Unit:

2176

Examiner:

M Nguyen

Search string:

(5822720).pn.

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init Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MIC 1	5822720	1998-10-13	Bookman et al.			

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> Title of Invention

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5591

First Named Applicant:

Susan Woolf

Attorney Docket Number: 003797.78802

Art Unit:

2176

Examiner:

M Nguyen

Search string:

(6144991).pn.

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#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	6144991	2000-11-07	England	-1		

#### Remarks

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## **Signature**

Examiner Name	Date
Markhaphngewen	04/18/05

APP ID=09455805

PTO/SB/08a (08-03)

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Attorney Docket Number

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Complete if Known Application Number 10/455.805 Filing Date 12/07/1999 First Named Inventor Susan D. Woolf et al. Art Unit 2176 Examiner Name Nguyen, Maikhanh

003797.78802

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Sheet of 2

			U.S. PATENT	DOCUMENTS	
Examiner Initials *	Cite No.	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentse or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MK		US- 5,784,05B	07-21-1998	LaStrange et al.	гідшез Афреат
MK		US- 6,710,790 B1	03-23-2004	Fagioti	
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevan		T⁰
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Examiner Signature	Markharhnewer	Date Considered	04/18/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

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Sheet

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Signature

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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of 2

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Application Number	09/455,805	
Filing Date	12/07/1999	
First Named Inventor	Susan D. Woolf et al.	
Art Unit	2176	
Examiner Name	Nguyen, Maikhanh	
Attorney Docket Number	003797 78802	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
MK		GREENBERG, SAUL, "A Fisheye Text Editor for Relaxed-WYSIWIS Groupware", Chi 1996 Proceedings, Pages 1 to 5	
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Date

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

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**Attorney Docket Number** 

**INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Complete If Known oplication Number 09/455,805 Filing Date 12/7/1999 First Named Inventor Susan D. Woolf et al. **Group Art Unit** 2176 Examiner Name Maikhanh Nguyen

003797.78802

(use as many sheets as necessary)

Sheet

Examiner	Cite	U.S. Patent Document	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
Initials *	No.1	Number Kind Code <sup>2</sup> (if known)	of Cited Document	Cited Document MM-DD-YYYY		
MK		5,826,025	Gramlich	10/1998		
MK		5,982,370	Kamper	11/9/99		
MK		6,714,214	DeMelio	3/2004		
MK		5,761,485	Munyan	6/1998		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Office <sup>3</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant	Τ.
MK		EP	034283	known)	IBM	11/23/89	Figures Appear	T <sub>6</sub>
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	<u> </u>							

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.¹					
MK		Marshall, C., "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140				
MK		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5				
MK		Munyan, D., "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards," 09/1998, http://www.futureprint.kent.edu/articles/munyan01.htm, pp. 1-7				

Examiner Signature	Markhanhnqueen	Date Considered	04/18/05

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Title of Invention

System and Method for Annotating an Electronic Document Independently of its Content

**Application Number:** 

09/455805

Confirmation Number:

5591

First Named Applicant:

Susan Woolf

Attorney Docket Number:

003797.78802

Art Unit:

2176

Examiner:

Maikhanh Nguyen

Search string:

( 5630125 or 5689717 or 5838313 or 5862395 or 5933139 or 6133925 or 6184886

or 6211871 or 6425525 or 6484156 or 6529920 or 6571211 or 6760884 or

20010031128 ).pn

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#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mk	1	5630125	1997-05-13	Zellweger			
MIC	2	5689717	1997-11-18	Pritt			
MK	3	5838313	1998-11-17	Hou et al.			
Mic	4	5862395	1999-01-19	Bier			
MIC	5	5933139	1999-08-03	Feigner et al.			
MIC	6	6133925	2000-10-17	Jaremko et al.			
MIC	7	6184886	2001-02-06	Bates et al.			
MK	8	6211871	2001-04-03	Himmel et al.			
MK	9	6425525	2002-07-02	Swaminathan et			
				al.			
MK	10	6484156	2002-11-19	Gupta et al.			
MIC	11	6529920	2003-03-04	Arons et al.			
MIC	12	6571211	2003-05-27	Dwyer et al.			
MIC	13	6760884	2004-07-06	Vertelney et al.			

#### **US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
MK	1	20010031128	2001-10-18	Manbeck			

#### Remarks

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markhanhageren	04/18/05